File date: 08 April 2004

PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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	Substitute	for form 1449A/PTO				Complete if Known
٠	INFO	RMATION	I DI	SCLOSURE	Application Number	To be assigned
				PPLICANT	Filing Date	April 8, 2004
	STATEMENT DI ATTEICANT				First Named Inventor	Khan et. al.
					Group Art Unit	To be assigned
	(use as many sheets as necessary)		Examiner Name	To be assigned		
$\overline{}$	Sheet	1	of	4	Attorney Docket Number	3077-6420US

	1			Name of Patentee or Applicant of	
Examiner Initials *	Cite No.	Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Initials*	No.'	Country Code ³ - Number ⁴ - Kind Code ³ (if known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T ⁶	
KRS		#WO 99/59617		Erasmus Universiteit	_		
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\mathbf{V}^{-}		#EP 1 138 692 A1	10/04/2001	Erasmus Universiteit Rotterdam			

				
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Substitute for form 1449A/PTO Complete if Known INFORMATION DISCLOSURE Application Number To be assigned Filing Date April 8, 2004 STATEMENT BY APPLICANT First Named Inventor Khan et. al. Group Art Unit To be assigned (use as many sheets as necessary) Examiner Name To be assigned 3077-6420US Attorney Docket Number

		Document Number ·	Publication Date	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevant	
Examiner Initials *	Cite No.	Number - Kind Code ³ (if known)	MM-DD-YYYY	Cited Document	Passages or Relevant Figures Appear	
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Examiner	Cite	Foreign Patent Document]	Name of Patentee or	Pages, Columns, Lines,					
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INIEC		ION DI	COLOCUDE	Application Number	To be assigned	
			SCLOSURE	Filing Date	April 8, 2004	
STAT	TEMEN	T BY A	PPLICANT	First Named Inventor	Khan et. al.	
				Group Art Unit	To be assigned	
- <u>-</u>	(use as mo	any sheets as	necessary)	Examiner Name	To be assigned	
Sheet	3	of	4	Attorney Docket Number	3077-6420US	

			OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *		Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
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Signature	Considered	



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Substitute for form 1449A/PTO Complete if Known Application Number To be assigned INFORMATION DISCLOSURE Filing Date April 8, 2004 STATEMENT BY APPLICANT Khan et. al. First Named Inventor Group Art Unit To be assigned (use as many sheets as necessary) Examiner Name To be assigned Sheet of 4 3077-6420US Attorney Docket Number

			OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	_
Exami Initials			Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Ť²
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Examiner Signature	/Karlheinz Skowronek/	Date Considered	01/05/2007	7
			<u> </u>	_,

#Pursuant to 37 C.F.R. § 1.98(d), copies of the previously identified patents are not being provided since they were previously cited by or submitted to the Office in the following prior application:

Serial No.: 10/753,510 Filed: January 7, 2004

For: IMMUNOREGULATORY COMPOSITIONS, which application is being relied upon for an earlier filing date under 35 U.S.C. § 120.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

	Complete if Known	
Application Number	10/821,240	
Filing Date	April 8, 2004	
First Named Inventor	Khan et al.	
Group Art Unit	To be assigned	
Examiner Name	To be assigned	
Attorney Docket Number	3077-6384US	

Examiner Cite Initials • No.1	Document Number	Publication Date	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevant	
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Examiner /Karlheinz Skowronek/	Date Considered	01/05/2007
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U.S. PATENT DOCUMENTS Name of Patentee or Applicant of Document Number Pages, Columns, Lines, Where Relevant Publication Date Cited Document MM-DD-YYYY Passages or Relevant Initials * No. Number - Kind Code¹ (if known) Figures Appear US-US-US-US-US-US-US-US-US-US-US-US-US-US-US-US-US-

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known

Application Number 10/821,240

Filing Date April 8, 2004

First Named Inventor Khan et al.

Group Art Unit 1648

Examiner Name To be assigned

Attorney Docket Number 3077-638411S

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Examiner Signature	/Karlheinz	Skowronek/	Date Considered	01/05/2007

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Group Art Unit	1648	
Examiner Name	To be assigned	
Attorney Docket Number	3077-6384US	

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U.S. PATENT DOCUMENTS Name of Patentee or Applicant of Document Number Publication Date Pages, Columns, Lines, Where Relevant Cite No. Cited Document MM-DD-YYYY Passages or Relevant Initials * Number - Kind Code² (if known) Figures Appear US-6,727,227 04-27-2004 Khavinson KRS US-US-US-US-US-US-US-US-US-US-US-US-US-US-

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Sheet 1 of 4 Attorney Docket Number 3077-6384US

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			SCLOSURE	Filing Date	April 8, 2004		
STAI	TEMENT B	ΥA	PPLICANT	First Named Inventor	Khan et al.		
				Group Art Unit	1631		
	(use as many she	ets as	necessary)	Examiner Name	R. Negin		
Sheet	2	of	4	Attorney Docket Number	3077-6384US		

Examiner Initials *	Cite No. Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s city and/or country where published.		T
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Signature	Considered	

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******			OCT OCTION	Application Number	10/821,240			
			SCLOSURE	Filing Date	April 8, 2004			
STA	TEMENT	BYA	PPLICANT	First Named Inventor	Khan et al.			
		•		Group Art Unit	1631			
	(use as man	y sheets as	necessary)	Examiner Name	R. Negin			
Sheet	3	of	4	Attorney Docket Number	3077-6384US			

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Signature	Considered	·



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#Pursuant to 37 C.F.R. § 1.98(d), copies of the previously identified patents are not being provided since they were previously cited by or submitted to the Office in the following prior application:

Serial No.: 10/028,075 Filed: December 21, 2001

For: GENE REGULATOR, which application is being relied upon for an earlier filing date under 35 U.S.C. § 120.

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